

REQUIRED DOCUMENTS NEEDED FOR PROVIDING INFORMATION REGARDING THE DECEASED'S PORTFOLIO

ATHEXCSD provides the following information to the legal heirs of a deceased beneficiary in DSS:

- The full data of the death person of the Investor's Share after searching based on the above data
- Statement of balances with valuation, for the securities that were registered in the Securities Accounts of the deceased on the previous day of death (retrospective balances)
- Statement of balances for the securities held in the deceased's Securities Accounts (current balances)
- Transactions of the deceased's Securities Accounts.
- Statement of cash distributions for the decedent's Securities Accounts from the date of death and onwards.

REQUIRED DOCUMENTS FOR PROVIDING INFORMATION

A. If an inheritance document, or will or inheritance acceptance by the heir does not exist

1. Application APP025 fully completed by an heir or authorized person, with all the details of the deceased (ID & VAT number required)
2. Death certificate of the deceased
3. Certification of nearer relatives of the deceased.
4. Certification of no probation of the deceased's will.
5. Certification of no dispute concerning the rights of succession (all the heirs should be listed)
6. Certification of no refusal of the heritage.

The documents will be certified. The delivery to ATHEXCSD of documents 4 and 5 should be done within 6 months from the date that was issued by the Court of First Instance / Magistrate's Court.

B. If an inheritance document exists

1. Application APP025 fully completed by an heir or authorized person, with all the details of the deceased (ID & VAT number required)
2. Certified copy of the inheritance document.
3. Certification of no repealing / modifying / invalidating of the inheritance document (received by ATHEXCSD, certified, within the time frame of three months from the date that was issued by the court of the first instance).

C. If a will exists

1. Application APP025 fully completed by an heir or authorized person, with all the details of the deceased (ID & VAT number required)
2. Certified copy of the relevant court records concerning the probation of the will (holographic, discreet, extraordinary) or certified copy of the public testament.
3. Certified copy of the relevant court records with which the holographic will was granted probate (only in case of a holographic will that was granted probate).
4. In case that the will does not include dematerialized securities, then a certification of nearer relatives, is submitted.
5. Certification of no probation of any other will.
6. Certification of no dispute concerning the rights of succession (all the heirs should be listed)
7. Certification of no refusal of the heritage.

The documents will be certified. The delivery to ATHEXCSD of documents 4 and 5 should be done within 6 months from the date that was issued by the Court of First Instance / Magistrate's Court.

D. If the heir has accepted an inheritance by means of a notarial document (in which dematerialized securities are included as well)

1. Application APP025 fully completed by an heir or authorized person, with all the details of the deceased (ID & VAT number required)
2. Certified copy of the relevant notarial document revealing the acceptance of the deceased inheritance.

E. If the heir has accepted an inheritance by means of a notarial document (in which dematerialized securities are not included as well)

1. Application APP025 fully completed by an heir or authorized person, with all the details of the deceased (ID & VAT number required)
2. Certified copy of the relevant notarial document revealing the acceptance of the deceased inheritance.
3. Certification of no probation of the deceased's will.
4. Certification of no dispute concerning the rights of succession (all the heirs should be listed)

The documents will be certified. The delivery to ATHEXCSD of documents 3 and 4 should be done within 6 months from the date that was issued by the Court of First Instance / Magistrate's Court.

F. If the heir has accepted an inheritance by means of a notarial document (with will in which dematerialized securities are not included as well)

1. Application APP025 fully completed by an heir or authorized person, with all the details of the deceased (ID & VAT number required)
2. Certified copy of the relevant notarial document revealing the acceptance of the deceased inheritance.
3. Certification of nearer relatives of the deceased.
4. Certification of no probation of any other deceased's will.

5. Certification of no dispute concerning the rights of succession (all the heirs should be listed)

The documents will be certified. The delivery to ATHEXCSD of documents 4 and 5 should be done within 6 months from the date that was issued by the Court of First Instance / Magistrate's Court.

Notes:

- Method of issuing certificates: the required certificates should have been issued either **through the Public Services**, or **electronically** through the corresponding application (www.solon.gov.gr)
- Alternatively and in accordance with article 65 of Law 4961/2022 as applicable, instead of the above Certificates of non-publication, non-dispute and non-waiver, the heir may present **the single certificate of inheritance**, which is valid for six (6) months from its issuance.
- In the case of filing the application **by a third party, an authorization** is required, with a certification of the authenticity of the signature of the applicant heir, with which the person submitting the application will be authorized, as, instead of him and on his behalf, submits the application in question to the "Hellenic Central Depository of Securities S.A.", request from its competent services any information in relation to securities held in the name of the deceased and/or in relation to a share of the deceased's investor and the intangible values contained therein, signing for this reason any relevant document or application that will be requested by the "Hellenic Central Depository of Securities S.A." to complete the above command.
- **In the case of a deceased person before 01/03/2013**, a certificate of publication or non-probate must be provided **by both the Magistrate's Court and the Court of First Instance** of the place of residence of the deceased.
- ATHEXCSD preserves the right to demand additional documents according to its judgment.

INSTRUCTIONS FOR SENDING DOCUMENTS

Documents can be sent to ATHEXCSD by post or by e-mail.

- In the case of a **postal shipment**, it is required that the required certificates have been issued **through the Public Services**, either **electronically** through the corresponding application (www.solon.gov.gr) and that the genuineness of the signature of the requesting heir has been verified in the application or by an administrative authority or electronically, according to the provisions at www.gov.gr

Shipping address: Hellenic Central Securities Depository S.A. / Accounts & Registry Services Department / 110 Athinon St., 10442 Athens Greece

- In the case of sending by **electronic mail (e-mail)**, the certificates must have been issued electronically through the corresponding application (e.g. www.solon.gov.gr) and the originality of the signature of the requesting heir has been verified in the application also electronically, according to the provisions at www.gov.gr .

- **Sending e-mail address:** ars@athexgroup.gr