

B.1. APPLICATION FOR ACQUIRING THE CAPACITY OF NOMINATED ADVISER

1. Legal name of applicant:

2. Trade name (if different):

3. Address – registered office (please also provide any branch office addresses):

Tel.:	Fax:	e-mail:

4. Legal form of company:

5. Country of origin and year of incorporation:

6. Name and position of contact persons:

7. Are you (or have you been) a member of a union, association or other professional organization?

8. Are you a credit institution/investment firm that is able to provide the investment service of item 6 and/or 7 of section A, ANNEX I, Law 4514/2018?

YES / NO

9. If you are not a credit institution/investment firm that is able to provide the investment service of item 6 and/or 7 of section A, ANNEX I, Law 4514/2018, have you acted as an Adviser or financial consultant for at least the past two (2) years?

YES / NO

In the case of a newly established company, experience is assessed on the basis of the experience and competence of its officers over the past two years.

10. If you are not a credit institution or investment firm that is able to provide the investment service of item 6 and/or 7 of section A, ANNEX I, Law 4514/2018, please describe any action or transaction in which you have acted as Underwriter/Adviser/financial consultant in the past two (2) years, your role and level of involvement (please attach any relevant document relating to the applicant's transactions and operation):

Actions/Transactions	Involvement	Date of Transaction

In the case of a newly established company, experience is assessed on the basis of the experience and competence of its officers.

11. Please provide any relevant information relating to the fluctuation of your clients' share price in the above transactions:

Client Name	Share price at the time of transaction and on the date of application

18. The applicant (signature):

The above company (credit institution/investment firm/other) hereby applies to be accepted as an Alternative Market Nominated Adviser (ENA Nominated Adviser), in accordance with the provisions of the Operating Rules adopted by Athens Exchange (ATHEX). If ATHEX accepts the above application, the Nominated Adviser undertakes to comply with the provisions of the Operating Rules and the institutional framework in general which governs the operation of the Alternative Market, and to fully discharge its obligations emanating from the above framework and the role of Nominated Adviser.

The applicant certifies that all information contained herein is complete, accurate and up-to-date.

The applicant also declares and affirms that:

- a) it has been made fully aware of the Alternative Market Operating Rules with regard to its obligations as Nominated Adviser, and unreservedly accepts those rules;
- b) it shall comply with the terms and obligations arising from its participation in the Alternative Market as a Nominated Adviser, the implementation of the Operating Rules, the Regulations (and other resolutions or documents) to which the Alternative Market is directly or indirectly subject, as well as any other term or obligation which may be imposed by ATHEX to ensure the smooth functioning of the market and the protection of investors;
- c) it shall provide ATHEX or its supervisory authority (Hellenic Capital Market Commission) with all possible assistance and information that may be requested in the exercise of their powers.