

**TABLE 8:**

**Supporting documents for evaluation of the listing application for ETF Units**

1. Application from the ETF Issuer for the admission of ETF Units to the "Exchange-Traded Funds" Segment – Declarations by the Issuer and the Sponsor (Form of Table 9).	
2. Copy of the codified articles of association of the Management Company.	
3. Minutes relating to the current legal representation of the ETF Issuer, while in the case of foreign ETF Issuers, corresponding evidence of public disclosure (validity) of the relevant representation.	
4. Copy of the operating licence of the management company in the case of a conventional ETF.	
5. List of any authorized participants that are entitled to engage directly with the ETF Issuer in the creation/redemption of Units.	
6. Copy of the minutes of the competent body of the ETF Issuer which decided the admission to trading of the ETF units on ATHEX.	
7. Copy of the license to establish the ETF or of its operating license, in the case of an ETF constituted under statute, certified by the competent authority of the country of origin.	
8. Copy of the Regulations of the ETF or of its codified articles of association.	
9. Annual and half-yearly reports of the ETF (if any), audited by a certified auditor, which have been prepared in accordance with the law of its country of origin, or unaudited report for any shorter period of the ETF's operation, with information about its portfolio and units.	
10. In the case of a foreign ETF that has been established in another European Union member state, a letter is submitted by the ETF Issuer confirming completion of the procedure for the sale of its units in Greece.	
11. Copy of the Prospectus and of the "Key Investor Information Document (KIID)" and/or the "Key Information Document for Packaged Retail and Insurance-Based Investment Products (PRIIPS)" where applicable, in hard copy and in electronic form.	
12. Certified copy of the contract of the ETF Issuer with at least one (1) Market Maker.	
13. In the case of a secondary listing of ETF units, a copy or confirmation of listing approval or relevant announcement of the foreign regulated market.	

It should be noted that the documents of points 2, 3, 4, 8 and 9 are submitted only if they have not already been posted in the Issuer's file at the GCR. Otherwise, reference is made to the GCR file number of the company/companies and the respective links.

*Resolution 28 15.04.2024*