

Automated technical correctness checks

Pursuant to Board Resolution 4, ATHEXCSD conducts periodic, three (3) times per year, automated technical audits regarding the accuracy of the entries in the active Customer Portions in the S.A.T.

These checks concern the identification of incomplete or incorrect entries in the SAD, as follows:

1. Client Shares where mandatory registration data have not been recorded. Such data must be filled in by the Participant.
2. Client Shares with a registered date of birth later than the date of creation of the Share or visibly incorrect. The Participant must correct this information as well.
3. Client Shares with invalid country indicator (code: '99' - other) and other inactive, which must be replaced by the correct country codes.
4. Client Shares, more than one per Client (multiple shares), appearing active, for which the Participant is required to send a relevant request to ATHEXCSD, to consolidate such Shares following a relevant confirmation with the corresponding Clients of the Participant. These Share cases include:
 - a. Client Shares.
 - b. Joint Investor Shares, with the same joint beneficiaries (i.e. with the same individual Client Share per joint beneficiary) and the same indicator of succession.
 - c. Shares of Co-Owners with the same 'Linked client shares' (irrespective of link order) and with the same co-ownership percentages.
5. Deceased's shares where the transfer of securities from Participant Accounts to the Special Account is pending.
6. Deceased's shares where deactivation of Participant Accounts and their codes for transactions in derivatives on ATHEX markets is pending.
7. Client Shares with GR indicator as Country of Tax Residence, without VAT registration or with incorrect registration.
8. Client Shares of Legal Entities with balances, without registered LEI code or with false registration.
9. Client Shares of Legal Entities in the name of the Participant except for the "Participant's Own Share" category.
10. Client Shares of Legal Entities with General Statistical Category "S_125 - Other Financial Institutions" or "S_122 - Credit Institutions & Multilateral Development Banks" or with an indication in their description that they are held on behalf of clients (e.g. include the characters "CLIENT" or "CUSTOMER" or "CUST"), in which the value "Y" has not been marked in the field "Use for the same portfolio of Intermediaries" as defined in the Appendix "KEPT ACCOUNT DETAILS PER SHARE TYPE"

ATHEXCSD shall communicate the results of the above checks to the Participants concerned in order for them to make the relevant corrections/adjustments within a period of four (4) months from the date of notification.

The Participants shall make appropriate arrangements for the completion of the corrections to the Shares within the aforementioned period.

In addition, ATHEXCSD conducts on a monthly basis automated technical checks on:

- I. Client Shares for which the date of death of the beneficiary has been registered following the relevant notification, for securities transfer movements after the date of death.
- II. Client Shares of minor beneficiaries or Joint accounts in which minor beneficiaries participate, for securities transfer from them to other shares due to sale, donation or parental benefit.

ATHEXCSD shall communicate the results of the above checks to the Participants concerned in order for them to make the relevant corrections/adjustments within a period of one month from the date of notification.

ATHEXCSD notifies at the Hellenic Capital Market Commission annually with a summary of the results of the above-mentioned audits by 28 February of each year.