



Regarding the Shareholder Identification service, kindly note that ATHEXCSD will send a disclosure response status advice (seev.049) for every disclosure response (seev.047) received from intermediaries through FINPlus according to the following stages:

- a. upon receipt of a disclosure response (seev.047): If the disclosure response does not include the correct "Disclosure request identification", ATHEXCSD will send to the intermediaries a disclosure response status advice (seev.049) with reason code IDRI.
- b. upon completion of each reconciliation cycle: ATHEXCSD will send to the intermediaries a disclosure response status advice (seev.049) according to the following table of seev049 proprietary reject reason error codes (only for rejected disclosure responses).
- c. upon finalization of the reconciliation process: ATHEXCSD will send to all intermediaries a disclosure response status advice (seev.049) for all disclosure responses (successful and rejected).

Apart from the reason code IDRI (a.) which is used according to the ISO 20022 Protocol standards, the remaining reason codes of the below table are proprietary codes of ATHEXCSD. In the following example, the reason code is displayed with Issuer (<Issr>) "HCSDGRAA", schema (<SchmeNm>) "SIDERRORCODES1" and error message in <AddtlRsnInf>, i.e.

```
<RspnRcptnSts>
  <Rjctd>
    <Rsn>
      <RsnCd>
        <Prtry>
          <Id>E013</Id>
          <Issr>HCSDGRAA</Issr>
          <SchmeNm> SIDERRORCODES1 </SchmeNm>
        </Prtry>
```

</RsnCd>

<AddtlRsnInf>Disclosure Request is closed, no more responses are accepted. Received date: 2021-05-15</AddtlRsnInf>

</Rsn>

</Rjctd>

</RspnRcptnSts>

Below you may find the table «seev.049 Proprietary reject reason error codes» with the reason codes ATHEXCSD will be sending in the disclosure response status advice (seev.049) to the intermediaries with regards to their disclosure responses (seev.047):

| <b>049 Code</b> | <b>MESSAGE</b>  |
|-----------------|---|
| E001            | Invalid XML: %P1  |
| E002            | Duplicate submission or page ISSRDSCLSRREQID:%P1 , RSPNDGINTRMY: %P2 , DSCLSRSPNID %P3, pageNb %P4  |
| IDRI            | Disclosure request identification is invalid or unknown (IDRI). IssrDsclsrReqId: %P1  |
| E004            | ShareholdingBalanceOnOwnAccount is different from the sum of the Disclosure balances of type OOAC. Safekeeping account: %P1 - Account Holder Own amount :%P2 , Disclosure Own amount: %P2 |
| E005            | ShareholdingBalanceOnClntAccount is different from the sum of the Disclosure balances of type not OOAC. Safekeeping account: %P1 - Account Holder amount:%P2 , Disclosure amount: %P2     |
| E006            | Disclosure Safekeeping Account used multiple times for the same SEEV047 message. Safekeeping account: %P1 used multiple times in %P2  |
| E007            | Sender is not the Responding Intermediary or one of its agents. Sender: %P1, Responding Intermediary: %P2   |
| E008            | Unknown Sender. Responding Intermediary:%P1 dest address: %P2, destination user: %P3  |
| E009            | Responding Intermediary needs due diligence. %P1  |
| E010            | Unsupported message identifier: %P1   |
| E011            | Safekeeping Account is analyzed in another message. Safekeeping Account: %P1  |
| E012            | Disclosure Safekeeping Account is referred in another message. Safekeeping Account: %P1   |
| E013            | Disclosure Request is closed, no more responses are accepted. Received date: %P1  |