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RESOLUTION No 12

"Technical terms and procedures for the provision of the Securities Coding Service"

**THE BOARD OF DIRECTORS
OF THE GREEK CENTRAL SECURITIES DEPOSITORY SOCIETE ANONYME (ATHEXCSD)
(Meeting 22.02.2021)**

Having regard to:

1. The provisions of Article 2.6 of Part 2 of Section I and Articles 4.1 and 4.2 of Part 4 of Section X of the Rulebook of the Greek Central Securities Depository (hereinafter the "Rules"), and
2. the need to specify the technical terms and procedures for the provision of the Securities Coding Service

ISSUES THIS RESOLUTION AS FOLLOWS:

Scope of application

1. This Resolution defines the information and supporting documents required to be submitted to ATHEXCSD for the purposes of the provision of the Securities Coding Service (hereinafter the "Service") by the following persons, in accordance with the Rulebook:
 - a) the Listed Issuers
 - b) the Non-listed Issuers
 - c) the Managers of Exchange Traded Funds
 - d) the Managers of non-Exchange Traded Funds
 - e) the State
 - f) the Trading Venue Operators or CSDs.
2. The Service is provided to the persons of par. 1, subject to the applicable regulations and procedures applicable, as appropriate, to the relevant codification.

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A. Issued Codes

1. ATHEXCSD, in the context of the provision of the Service, shall provide the above persons with the following codes:
 - a) International Securities Identification Number (ISIN)
 - b) Classification of Financial Instruments (CFI)
 - c) Financial Instrument Short Name (FISN)
2. The above codes shall be issued at the request of the person concerned for all Securities and shall be issued in accordance with the practices and instructions laid down by the Association of National Numbering Agencies (ANNA) and fully comply with the international standards of the International Organization for Standardization. (International Organization for Standardization) adopted for each:
 - a) The International Securities Identification Number (ISIN) code is issued in accordance with the International Standard ISO 6166
 - b) The Classification of Financial Instruments (CFI) code is issued in accordance with the International Standard ISO 10962
 - c) The Financial Instrument Short Name (FISN) code is issued in accordance with the International Standard ISO 18774:

B. Supporting documents for the use of the Securities Coding Service

1. The persons concerned should present to ATHEXCSD the following information and supporting documents:
 - a) Application signed by the legal representative of the company for the use of the service. The Application is available in a standard format on ATHEXCSD website
 - b) A copy of the minutes of the meeting of the competent body of the company that decided to issue the Securities (where required)
 - c) A copy of the minutes of the meeting of the competent body of the company regarding the valid legal representation of the company or the corresponding proof of registration in the relevant Company Register or an electronic searching thereof where applicable

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- d) Copy of proof of deposit of rights in favor of ATHEXCSD , as defined in Resolution 18.
 - e) In the case of Capital, the following supporting documents shall also be presented:
 - Decision of the Hellenic Capital Market Commission on the authorization of the Fund Manager
 - Decision of the Hellenic Capital Market Commission approving the regulation and authorizing the constitution of the Fund.
 - f) ATHEXCSD may, as applicable, request the presentation of additional supporting documents.
2. In the case of the State, the following information and supporting documents shall be presented:
- a) Application signed by the legal representative for the use of the service. The Application is available in a standard format on ATHEXCSD website.
 - b) An element or supporting document from which the as above legal representation arises.

C. Entry into force

1. This resolution shall be made public via the website of ATHEXCSD. This shall become effective from its publication, namely from 12.04.2021.
2. The publication hereof shall be subject to the formalities set out in Article 2.6 of Part 2 of Section I of the Rulebook.