



**ATHEXCLEAR**  
*Clearing House*

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**ATHENS EXCHANGE CLEARING HOUSE S.A.**

**EMIR-TR SERVICE  
FILE REGISTRATION APPLICATION AMP EMIR-TR  
USER MANUAL**

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**Version: 1.1 – 09/09/2024**



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## REVISION TABLE

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Version	Section	Revision Details – Reason of Change
1.0		First Version of AMP EMIR-TR Application (EMIR REFIT)
1.1		Historical Data Screen addition from TRC application (Data before 29/04/2024)



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## 1. Overview

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This manual describes the functions performed by users of the Clearing Members/Clients of the EMIR-TR Service, via the AMP EMIR-TR application.

In this version, the following functions are provided:

1. Log in to the application
2. Change User Password
3. Submission and Monitoring of Delegation File, from Clearing Members/Clients of the EMIR-TR service on behalf of their Clients, so as to report their Clients' LEIs to ATHEXCLEAR. This is necessary for the submission of reports on transactions cleared by ATHEXCLEAR, and for transactions not cleared by ATHEXCLEAR (ETD/OTC)
4. Submission and Monitoring of ETD Supplementary Trade & Position Data File, for Clients of the EMIR TR Service that have the obligation to report transactions cleared by ATHEXCLEAR
5. Submission and Monitoring of ETD Supplementary Margin Data File, for Clients of the EMIR TR Service that have the obligation to report transactions cleared by ATHEXCLEAR
6. Submission and Monitoring of the OTC030 Report File and the OTC108 Report File, for Clients of the EMIR TR Service that have the obligation to report transactions executed over-the-counter or on other regulated markets and not cleared by ATHEXCLEAR
7. Response files for the submitted reports to Trade Repository

## 2. Description and Operation

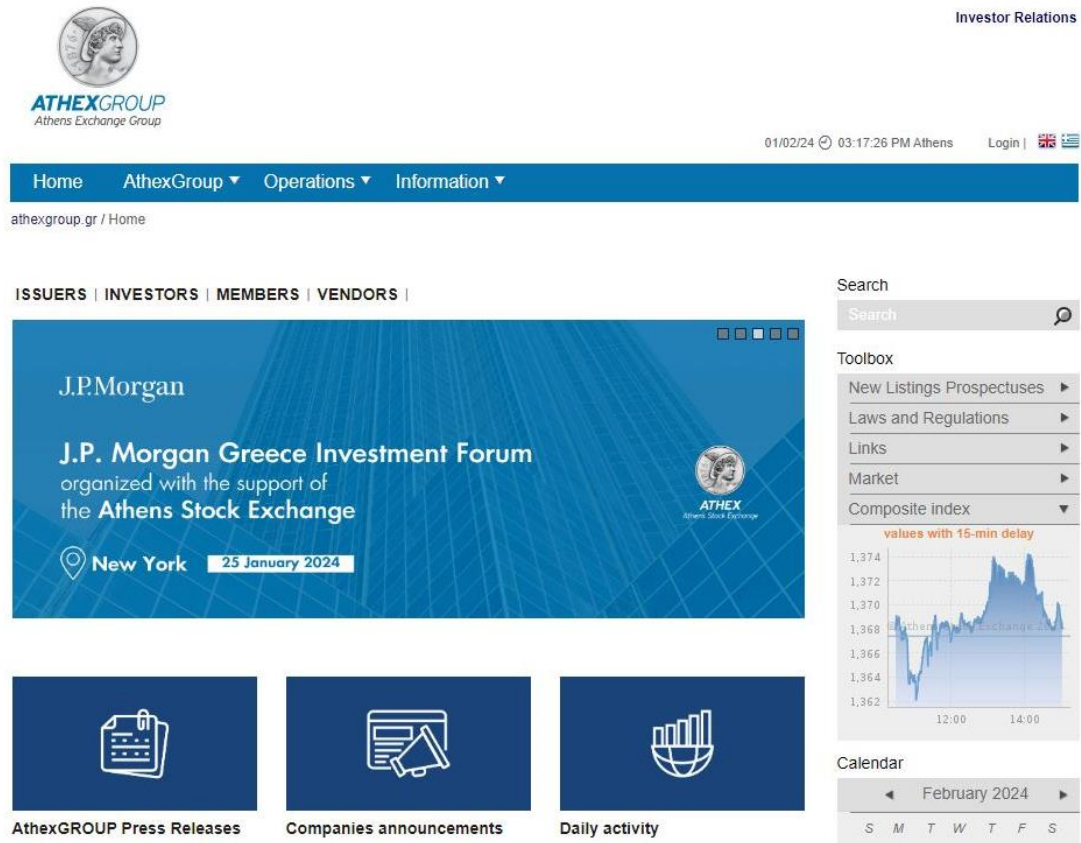
### 2.1 User's password management

For security reasons, after your first login you should change your password. You should also regularly change your password.

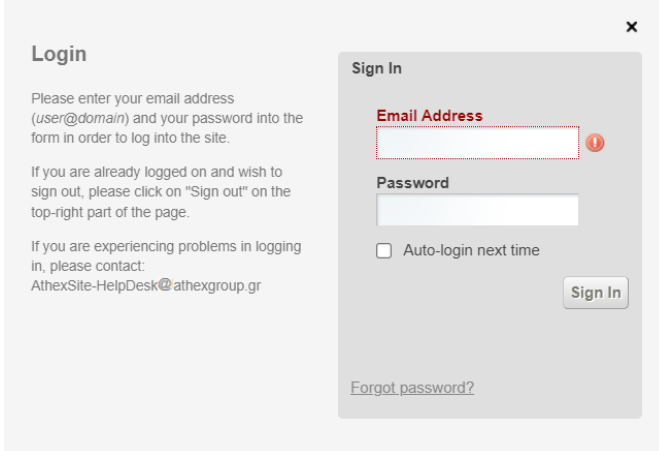
Passwords are managed at the ATHEX Group Web site.

### 2.2 Connecting and disconnecting at ATHEXGroup web site

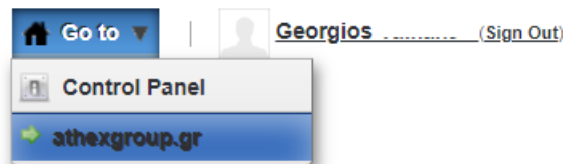
In order to connect to the ATHEXGroup website, please use the link <http://www.athexgroup.gr/> and the following screen will be displayed:



Please select the option “**Login**” and the below tab will display where you should enter your email address and the corresponding password. Then, click on the “**Sign In**” virtual button.




If you have typed your email and password correctly, then on the right top side, the name of the user and the “**Sign Out**” option will be displayed.



### 2.3 User Password Change during the first Login

The first time you log in, the terms of use for the site will be displayed.



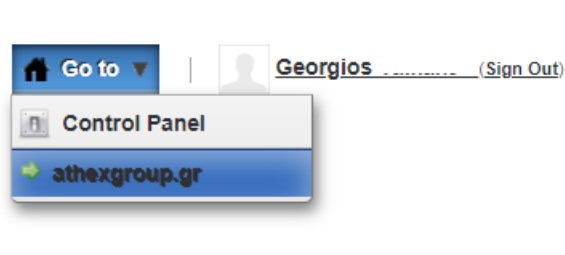
After reading the terms of use, please proceed to the next step of the process by clicking “**I agree**”. On the next screen that appears, enter the new password in “**Password**” and “**Enter Again**”. Finally, click the “**Save**” virtual button.

Next, please select query password reminder, type the corresponding answer and select "Save". Then the home page of the site will be displayed.

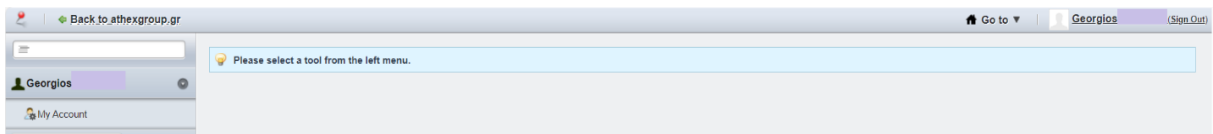
## 2.4 Change – Manage User Password

In order to manage your user account, you have to click the link <http://www.athexgroup.gr/> and follow the Login steps described in paragraph 2.2.

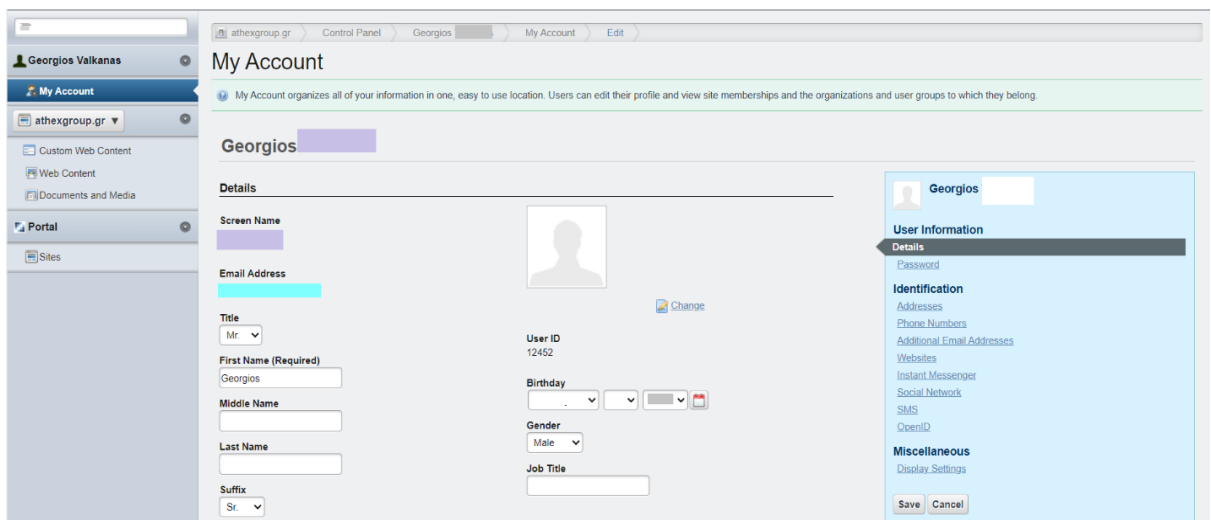
Following that, select “Control Panel” option under the “Go To” menu, as shown below:



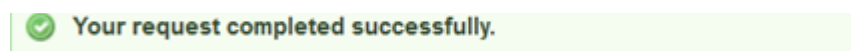
Next, you will be directed to the following screen, where from the left menu, you should select the “My Account” virtual button.



The following screen will be displayed on the main information area.



You can change your password from the menu on the right by clicking on the “Password” option where you input the relevant information and select “Save”. Once the changes have been registered, you will see the following message:





## 2.5 Connecting to the application – Home Page

In order to log in to the application, you must use an Internet Browser (Internet Explorer, Google Chrome, etc.) and the computer used must have Internet access.

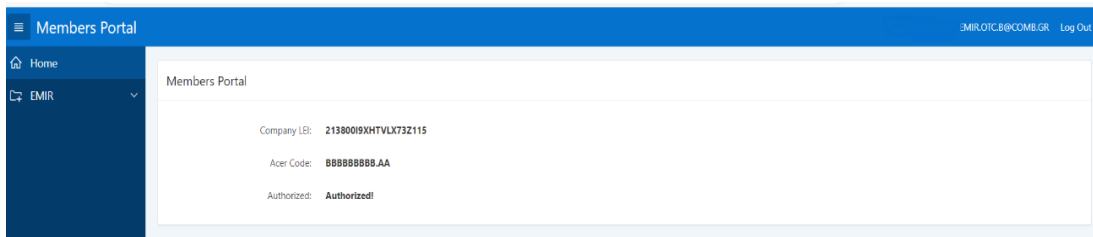
By entering the link:

- <https://amp.athexgroup.gr/apex>, the user can log in to the production environment.
- <https://t-amp.athexgroup.gr/apex>, the user can log in to the test environment.



On the screen that appears, please enter your Email Address as listed in the signed contract that has been accepted by ATHEXClear, and your Password. Then click on the “**Sign in**” button. Once your details are entered correctly, you will be logged into the application.

After connecting to the application, the home page is displayed, where the logged in user, the LEI of the Clearing Member/Client of EMIR-TR Service, the authorization of the login and the main menu of the application appear.



Click the “**Log Out**” button in the upper right corner in order to exit the application.

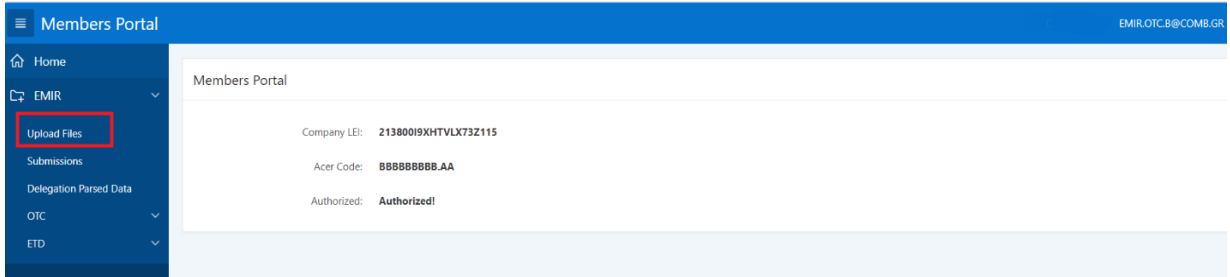


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The available menu options per user, regarding the EMIR-TR service, depend on the Clearing Member/Client agreement with ATHEXCLEAR. Following, the maximum capabilities that the user of the service can have are presented.

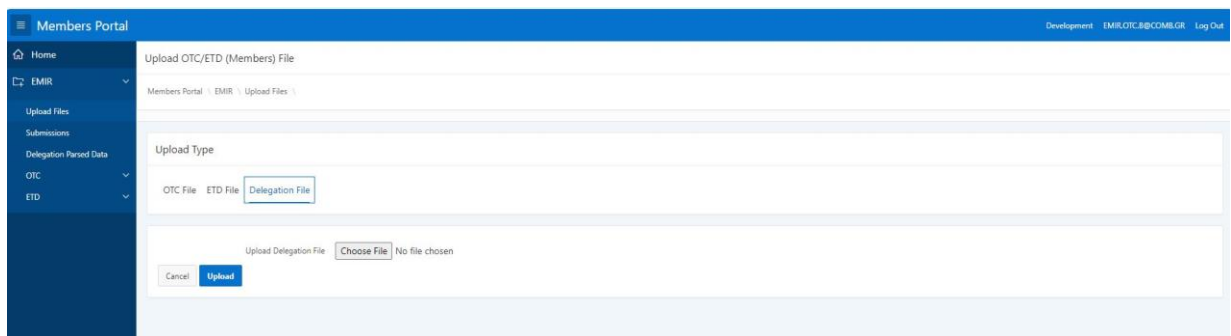
### 3. Clients File Upload in the application

By opening the EMIR menu, the following screen is displayed:



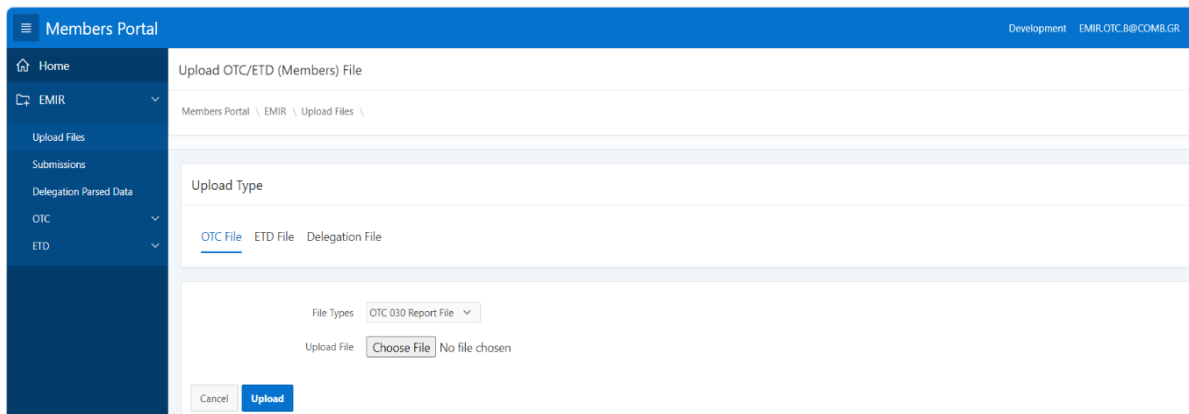
By selecting the “**Upload File**” from the main menu, you will be directed to the “**Upload Type**” page with the following options:

- **“Delegation File”**: for submitting Delegation Files in order to submit reports on behalf of your Clients (CSV format with UTF-8 encoding and free text regarding file naming e.g., “Delegation\_12012024.csv”). The first row of the file may include headers.

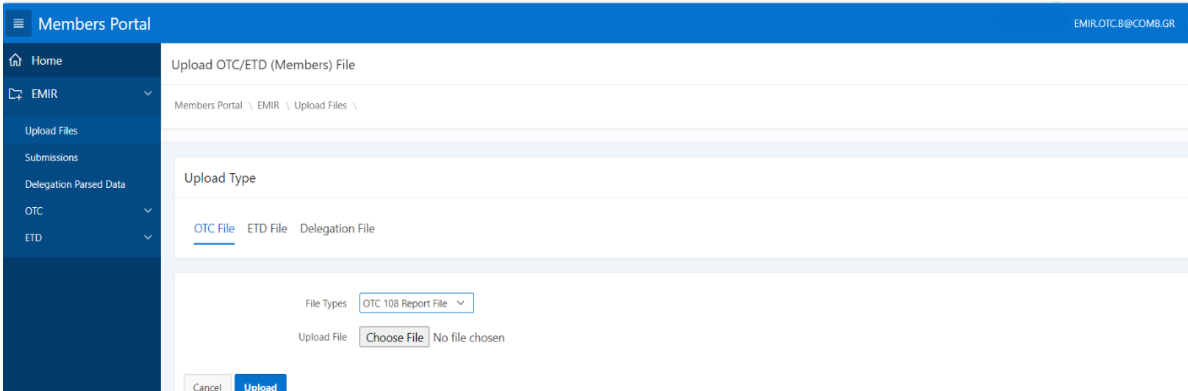


- **“OTC File”**: for submitting OTC Files for reports of transactions not cleared by ATHEXClear. The user has two options (**File Types**):

- a. **“OTC 030 Report File”**: for submitting OTC trade and position report file for derivatives not cleared by ATHEXClear (xml\_ISO 20022 format based on the technical specifications of ESMA and free text regarding file naming e.g., “OTC030\_12012024.xml”)



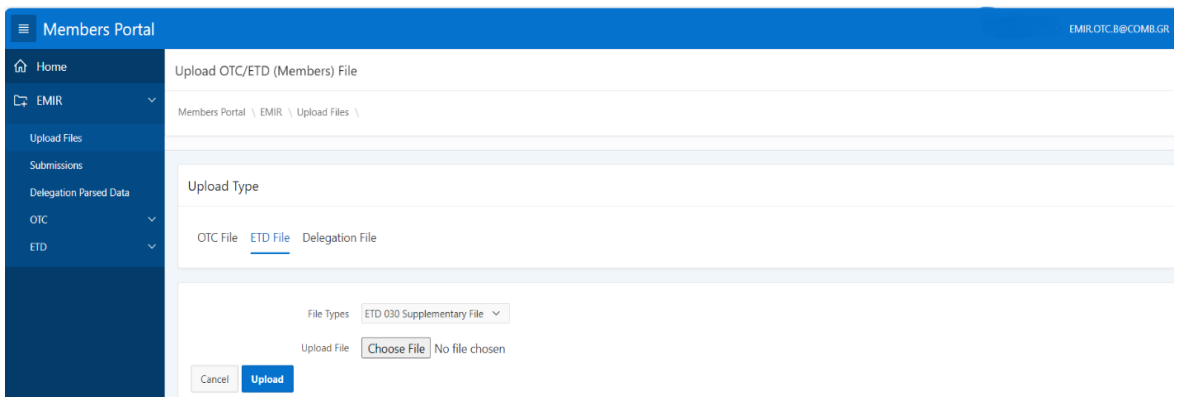
- b. “OTC 108 Report File”:** for submitting OTC margin report file for derivatives not cleared by ATHEXCLEAR (xml\_ISO 20022 format based on the technical specifications of ESMA and free text regarding file naming e.g., “OTC108\_12012024.xml”)



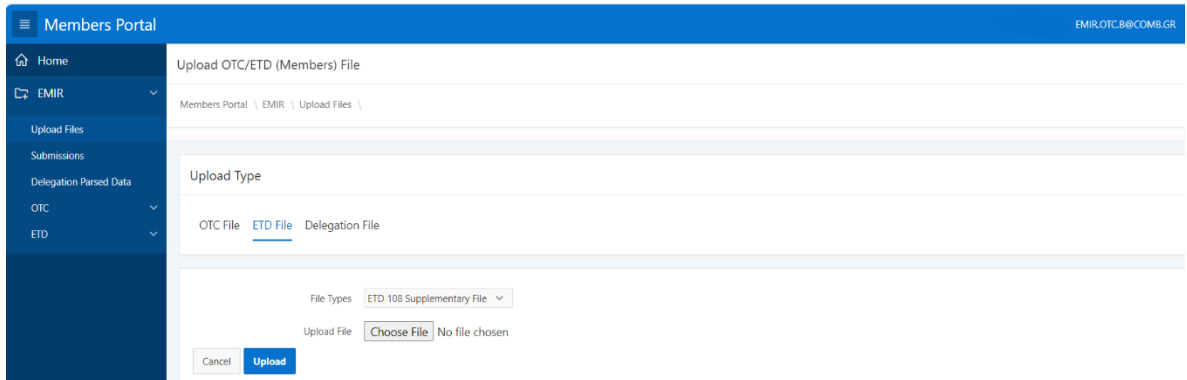
**Note:** The user must submit the **Document** for the above OTC030 Report File & OTC108 Report File, as specified in the current xsd version (Auth030 & Auth108), based on the technical specifications of ESMA, **without the header and wrapper**.

- **“ETD File”:** for submitting ETD Supplementary Data File for derivatives cleared by ATHEXCLEAR. The user has two options (**File Types**):

- a. “ETD 030 Supplementary File”:** for submitting ETD trade and position data file for derivatives cleared by ATHEXCLEAR (CSV format with UTF-8 encoding and free text regarding file naming e.g. “ETD030\_12012024.csv”). The first row of the file may include headers



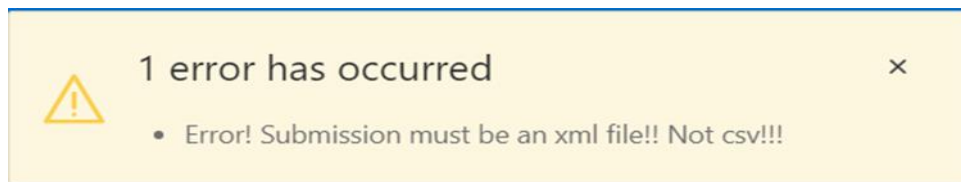
- b. “ETD 108 Supplementary File” (Optional):** for submitting ETD margin data file for derivatives cleared by ATHEXCLEAR (CSV format with UTF-8 encoding and free text regarding file naming e.g. “ETD108\_12012024.csv”). The first row of the file may include headers



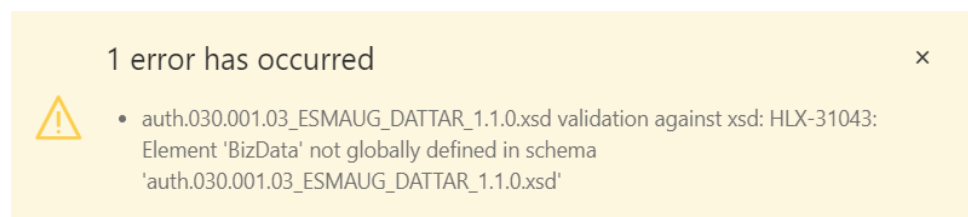
Following that, the user, by selecting “**Choose File**”, locates and chooses the file for submission. Next, using the “**Upload**” option, the user submits the file to the application. By selecting the “**Cancel**” virtual button, the user can cancel the file’s submission.

The application provides a success or error message upon file submission, regarding the **file type** being uploaded and its **file format**.

For example, if the user uploads a “**OTC 030 Report File**” in CSV format instead of XML, the following error message is displayed:

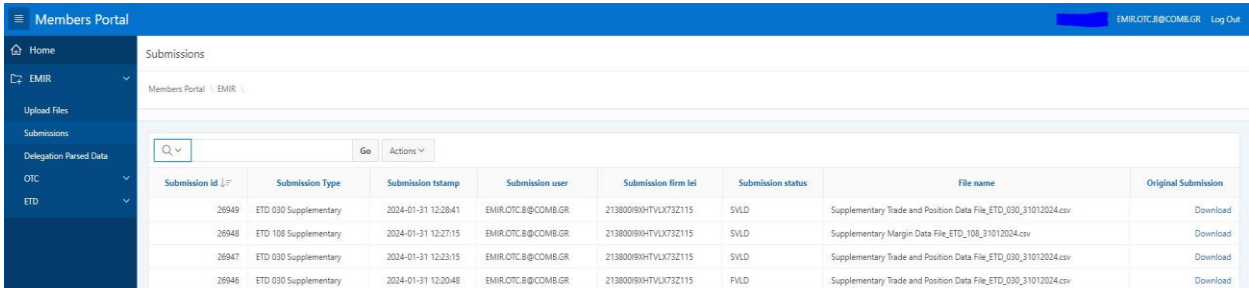


Additionally, if the user uploads an “**OTC 108 Report File**” with content not compliant with ESMA's technical standards, the following error message is displayed:



## 4. Clients File Submission Verification

The user, in order to check the submission of their files, selects the “**Submissions**” virtual button from the main menu, and the following screen is displayed with the files the user has submitted and the status of their submission process.



Submission id	Submission Type	Submission tstamp	Submission user	Submission firm lei	Submission status	File name	Original Submission
26949	ETD 030 Supplementary	2024-01-31 12:28:41	EMIR.OTC.B@COMB.GR	2138009HXHTVLX732115	SVLD	Supplementary Trade and Position Data File_ETD_030_31012024.csv	Download
26948	ETD 108 Supplementary	2024-01-31 12:27:15	EMIR.OTC.B@COMB.GR	2138009HXHTVLX732115	SVLD	Supplementary Margin Data File_ETD_108_31012024.csv	Download
26947	ETD 030 Supplementary	2024-01-31 12:23:15	EMIR.OTC.B@COMB.GR	2138009HXHTVLX732115	SVLD	Supplementary Trade and Position Data File_ETD_030_31012024.csv	Download
26946	ETD 030 Supplementary	2024-01-31 12:20:48	EMIR.OTC.B@COMB.GR	2138009HXHTVLX732115	FVLD	Supplementary Trade and Position Data File_ETD_030_31012024.csv	Download

The fields displayed on this screen and their corresponding descriptions are as follows:

Field Name	Description
Submission id	The <b>submission code</b> provided by the application
Submission Type	The <b>type of submitted file</b> , with the following values: <ul style="list-style-type: none"> <li>• Delegation</li> <li>• ETD 030 Supplementary</li> <li>• ETD 108 Supplementary</li> <li>• OTC 030</li> <li>• OTC 108</li> </ul>
Submission tstamp	The <b>submission timestamp</b> of the file
Submission user	The <b>user</b> who submitted the file
Submission Firm Lei	The <b>LEI</b> of the Clearing Member/Client of the service
Submission status	The status of the submitted file, with the following values: <ul style="list-style-type: none"> <li>✓ <b>RCVD</b> (received, successful import of the file into the application)</li> <li>✓ <b>SVLD</b> (successful validation, all entries in the file are successful)</li> <li>✓ <b>FVLD</b> (failed validation, at least one entry is unsuccessful)</li> </ul>

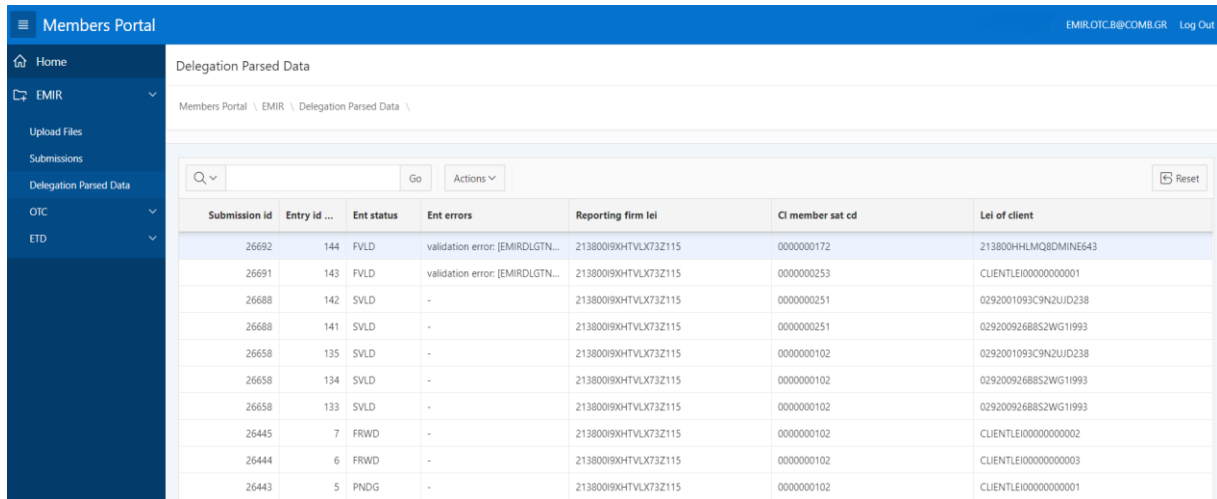
	<ul style="list-style-type: none"> <li>✓ <b>PNDG</b> (pending confirmation, at least one entry is pending for processing)</li> <li>✓ <b>PART</b> (partially accepted, some entries are SVLD and others are FVLD)</li> <li>✓ <b>OBSL</b> (file exception for any technical reason)</li> </ul>
File name	The <b>name</b> of the submitted <b>file</b>
Original Submission	Populated with the value “ <b>Download</b> ” from where <b>the submitted file can be downloaded</b>

In addition, the user, from the “**Action**” option, has multiple capabilities such as displaying/hiding columns, applying filters, exporting reports, and saving the displayed table in various file formats, etc.

**Note:** The screen allows “**sorting**” by column - showing or hiding it by clicking the cursor on the column name. Additionally, the user can search in each field displayed on the screen as well as in combinations of them by selecting the virtual button of magnifying glass icon above the “**Submission Id**” column.

## 5. Delegation File Data

The user, in order to be informed regarding the records of the submitted Delegation Files for its Clients, selects the “**Delegation Parsed Data**” virtual button from the main menu, and the following screen is displayed:



Submission id	Entry id ...	Ent status	Ent errors	Reporting firm lei	CI member sat cd	Lei of client
26692	144	FVLD	validation error: [EMIRDLGTN...	213800I9XHTVLX73Z115	0000000172	213800HMLMQ8DMINE643
26691	143	FVLD	validation error: [EMIRDLGTN...	213800I9XHTVLX73Z115	0000000253	CLIENTLEI000000000001
26688	142	SVLD	-	213800I9XHTVLX73Z115	0000000251	0292001093C9N2UJ0238
26688	141	SVLD	-	213800I9XHTVLX73Z115	0000000251	0292009268852WG11993
26658	135	SVLD	-	213800I9XHTVLX73Z115	0000000102	0292001093C9N2UJ0238
26658	134	SVLD	-	213800I9XHTVLX73Z115	0000000102	0292009268852WG11993
26658	133	SVLD	-	213800I9XHTVLX73Z115	0000000102	0292009268852WG11993
26445	7	FRWD	-	213800I9XHTVLX73Z115	0000000102	CLIENTLEI000000000002
26444	6	FRWD	-	213800I9XHTVLX73Z115	0000000102	CLIENTLEI000000000003
26443	5	PNDG	-	213800I9XHTVLX73Z115	0000000102	CLIENTLEI000000000001

The fields displayed on this screen and their corresponding descriptions are as follows:

Field Name	Description
Submission id	The <b>submission code</b> of the file, as shown on the “ <b>Submissions</b> ” screen and provided by the application
Entry id	The <b>unique code for each entry</b> , provided by the application
Ent status	The status of each entry of the submitted file, with the following values: <ul style="list-style-type: none"> <li>✓ <b>PVLD</b> (pending validation)</li> <li>✓ <b>FVLD</b> (failed validation)</li> <li>✓ <b>SVLD</b> (successful validation)</li> <li>✓ <b>FRWD</b> (forwarded)</li> <li>✓ <b>CONF</b> (confirmed)</li> <li>✓ <b>PNDG</b> (pending confirmation)</li> </ul>



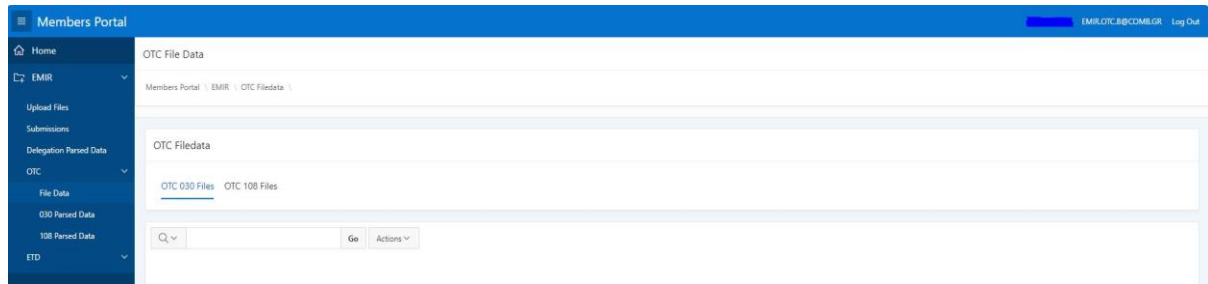
	✓ <b>RJCT</b> (rejected)
Ent errors	Populated with the value "-" when the <b>processing</b> of the <b>file is successful</b> , otherwise, this field displays a description of the errors during the processing of the file content
Reporting firm lei	The <b>LEI</b> code of the Clearing Member/Client of the service
Cl member sat cd	The <b>ten-digit DSS code</b> of the Clearing Member submitting the file or <b>N/A</b> in the case of a Non-Clearing Member
Lei of client	The <b>LEI code</b> of the Client of the Clearing Member who has requested delegation to report their transactions

In addition, the user, from the “**Action**” option, has multiple capabilities such as displaying/hiding columns, applying filters, exporting reports, and saving the displayed table in various file formats, etc.

**Note:** The screen allows “**sorting**” by column - showing or hiding it by clicking the cursor on the column name. Additionally, the user can search in each field displayed on the screen as well as in combinations of them by selecting the virtual button of magnifying glass icon above the “**Submission Id**” column.

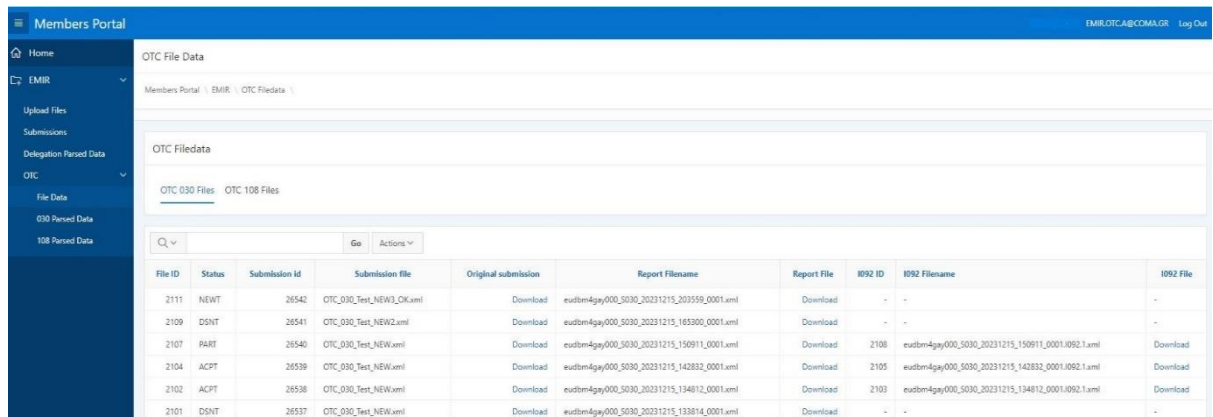
## 6. Validation of Report Files Submission for transactions not cleared by ATHEXCLEAR

The user in order to be informed regarding to the submission of reports for trades, positions, and margin files for derivatives **not cleared** by ATHEXCLEAR, selects the “OTC” virtual button from the main menu and then “File Data” and the following screen is displayed:



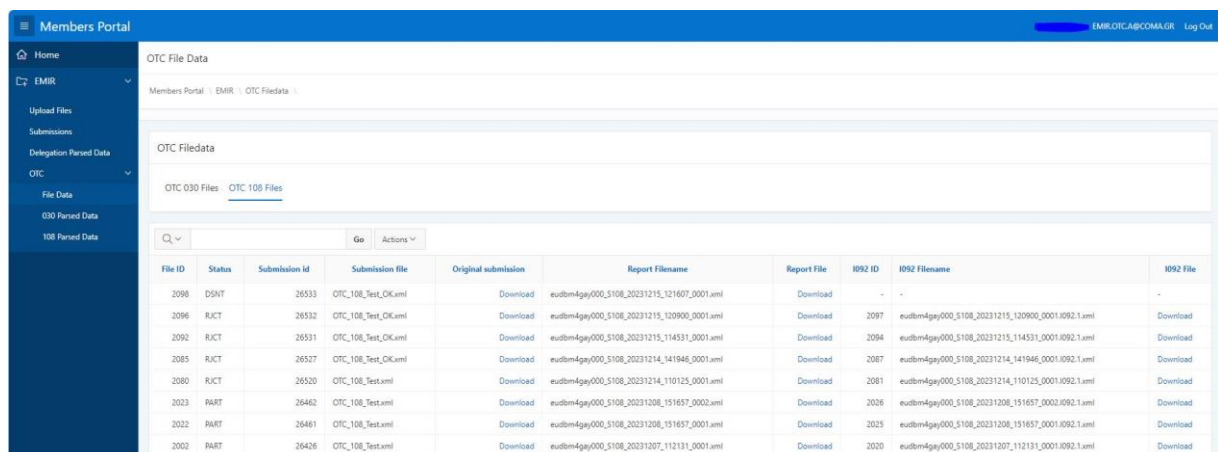
Following that, from the option:

- *“OTC 030 Files” the submissions of the reports for trades & positions for derivatives not cleared by ATHEXCLEAR are displayed*



File ID	Status	Submission Id	Submission file	Original submission	Report Filename	Report File	I992 ID	I992 Filename	I992 File
2111	NEWT	26542	OTC_030_Test_NEW3_OK.xml	Download	eudbm4gay000_5030_20231215_203559_0001.xml	Download	-	-	-
2109	DSNT	26541	OTC_030_Test_NEW2.xml	Download	eudbm4gay000_5030_20231215_165300_0001.xml	Download	-	-	-
2107	PART	26540	OTC_030_Test_NEW.xml	Download	eudbm4gay000_5030_20231215_150911_0001.xml	Download	2108	eudbm4gay000_5030_20231215_150911_0001.I992.1.xml	Download
2104	ACPT	26539	OTC_030_Test_NEW.xml	Download	eudbm4gay000_5030_20231215_142832_0001.xml	Download	2105	eudbm4gay000_5030_20231215_142832_0001.I992.1.xml	Download
2102	ACPT	26538	OTC_030_Test_NEW.xml	Download	eudbm4gay000_5030_20231215_134812_0001.xml	Download	2103	eudbm4gay000_5030_20231215_134812_0001.I992.1.xml	Download
2101	DSNT	26537	OTC_030_Test_NEW.xml	Download	eudbm4gay000_5030_20231215_133814_0001.xml	Download	-	-	-

- *“OTC 108 Files” the submissions of the reports for margin for derivatives not cleared by ATHEXCLEAR are displayed*



File ID	Status	Submission Id	Submission file	Original submission	Report Filename	Report File	I992 ID	I992 Filename	I992 File
2098	DSNT	26533	OTC_108_Test_OK.xml	Download	eudbm4gay000_5108_20231215_121607_0001.xml	Download	-	-	-
2096	RJCT	26532	OTC_108_Test_OK.xml	Download	eudbm4gay000_5108_20231215_120900_0001.xml	Download	2097	eudbm4gay000_5108_20231215_120900_0001.I992.1.xml	Download
2092	RJCT	26531	OTC_108_Test_OK.xml	Download	eudbm4gay000_5108_20231215_114331_0001.xml	Download	2094	eudbm4gay000_5108_20231215_114331_0001.I992.1.xml	Download
2085	RJCT	26527	OTC_108_Test_OK.xml	Download	eudbm4gay000_5108_20231214_141946_0001.xml	Download	2087	eudbm4gay000_5108_20231214_141946_0001.I992.1.xml	Download
2080	RJCT	26520	OTC_108_Test.xml	Download	eudbm4gay000_5108_20231214_110125_0001.xml	Download	2081	eudbm4gay000_5108_20231214_110125_0001.I992.1.xml	Download
2023	PART	26462	OTC_108_Test.xml	Download	eudbm4gay000_5108_20231208_151657_0002.xml	Download	2026	eudbm4gay000_5108_20231208_151657_0002.I992.1.xml	Download
2022	PART	26461	OTC_108_Test.xml	Download	eudbm4gay000_5108_20231208_151657_0001.xml	Download	2025	eudbm4gay000_5108_20231208_151657_0001.I992.1.xml	Download
2002	PART	26426	OTC_108_Test.xml	Download	eudbm4gay000_5108_20231207_112131_0001.xml	Download	2020	eudbm4gay000_5108_20231207_112131_0001.I992.1.xml	Download

The fields displayed on this screen and their corresponding descriptions are as follows:



Field Name	Description
File ID	The <b>unique key number of entry</b> provided by the application
Status	The status of the submitted file, with the following values: <ul style="list-style-type: none"><li>✓ <b>NEWT</b> (new file for submission)</li><li>✓ <b>DSNT</b> (sending the file)</li><li>✓ <b>FRCV</b> (feedback received)</li><li>✓ <b>ACPT</b> (accepted file)</li><li>✓ <b>PART</b> (partially accepted, some entries are SVLD and others are FVLD)</li><li>✓ <b>RJCT</b> (rejected file)</li><li>✓ <b>OBSL</b> (file exception for any technical reason)</li></ul>
Submission id	The <b>submission code of the file</b> , as shown on the “ <b>Submissions</b> ” screen and provided by the application
Submission file	The <b>name of the submitted file</b> in the application
Original Submission	Populated with the value “ <b>Download</b> ” from where the <b>submitted file can be downloaded</b>
Report Filename	The <b>name of the report</b> that was submitted to the Trade Repository
Report File	Populated with the value “ <b>Download</b> ” from where the submitted report that has been sent to the Trade Repository <b>can be downloaded</b>
I092 ID	The <b>code</b> of the response file
I092 Filename	The <b>name</b> of the response file



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I092 File	Populated with the value “ <b>Download</b> ” from where <b>the response file can be downloaded</b>
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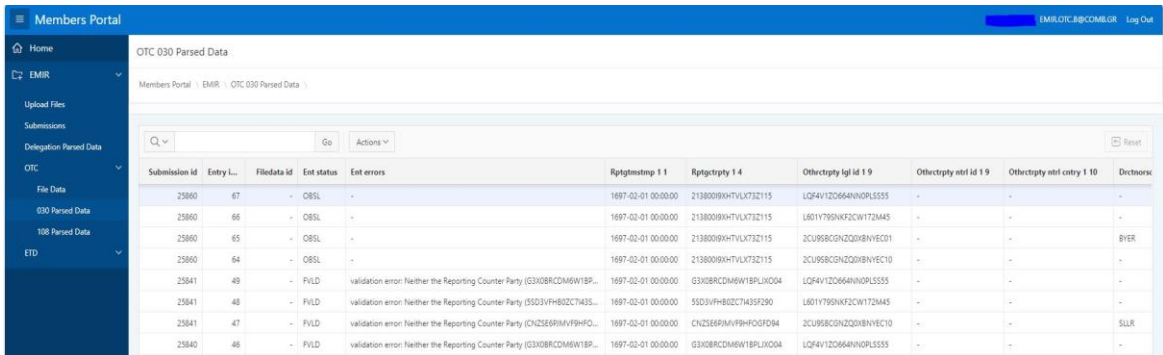
In addition, the user, from the “**Action**” option, has multiple capabilities such as displaying/hiding columns, applying filters, exporting reports, and saving the displayed table in various file formats, etc.

**Note:** The screen allows “**sorting**” by column - showing or hiding it by clicking the cursor on the column name. Additionally, the user can search in each field displayed on the screen as well as in combinations of them by selecting the virtual button of magnifying glass icon above the “**File ID**” column.

## 7. Trades & Positions Data and Margin Data for Reports of Derivatives not cleared by ATHEXClear

### 7.1 Trades & Positions Data for Reports of derivatives not cleared by ATHEXClear

The user, in order to be informed regarding the records of the files submitted for trades & positions for derivatives **not cleared** by ATHEXClear (OTC030 Report File), selects from the main menu the “**OTC**” virtual button and then “**030 Parsed Data**” and the following screen is displayed:



Submission id	Entry L.	Filedata id	Ent status	Ent errors	Rptgtsmstp 1 1	Rptgtsrpty 1 4	Othctsrpty lgl id 1 9	Othctsrpty sttl id 1 9	Othctsrpty sttl entry 1 10	Dictnoors
25860	67	-	OBSL	-	1697-02-01 00:00:00	21380089H7VLK732115	LQF4V1Z0664NNORLS555	-	-	-
25860	66	-	OBSL	-	1697-02-01 00:00:00	21380089H7VLK732115	L601Y795NKZ2CW172M45	-	-	-
25860	65	-	OBSL	-	1697-02-01 00:00:00	21380089H7VLK732115	2CU958CGNZQD8BYEC01	-	-	BYER
25860	64	-	OBSL	-	1697-02-01 00:00:00	21380089H7VLK732115	2CU958CGNZQD8BYEC10	-	-	-
25841	49	-	FVLD	validation error: Neither the Reporting Counter Party (G3X0BRCDM6W1BPLX004	1697-02-01 00:00:00	G3X0BRCDM6W1BPLX004	LQF4V1Z0664NNORLS555	-	-	-
25841	48	-	FVLD	validation error: Neither the Reporting Counter Party (55D3VPH8ZCTH435L	1697-02-01 00:00:00	55D3VPH8ZCTH435F90	L601Y795NKZ2CW172M45	-	-	-
25841	47	-	FVLD	validation error: Neither the Reporting Counter Party (CNZ3E69IMV9PHFDL	1697-02-01 00:00:00	CNZ3E69IMV9PHFD094	2CU958CGNZQD8BYEC10	-	-	SLLR
25840	46	-	FVLD	validation error: Neither the Reporting Counter Party (G3X0BRCDM6W1BPLX004	1697-02-01 00:00:00	G3X0BRCDM6W1BPLX004	LQF4V1Z0664NNORLS555	-	-	-

The fields displayed on this screen and their corresponding descriptions are as follows:

Field Name	Description
Submission id	The <b>submission code of the file</b> , as shown on the “ <b>Submissions</b> ” screen and provided by the application
Entry id	The <b>unique code for each entry</b> , provided by the application
Filedata id	The <b>unique identifier</b> of the entry, as displayed in the “ <b>OTC File Data</b> ” table and provided by the application
Ent status	The status of each entry of the submitted file, with the following values: <ul style="list-style-type: none"> <li>✓ <b>PVLD</b> (pending validation)</li> <li>✓ <b>SVLD</b> (successful validation)</li> <li>✓ <b>FVLD</b> (failed validation)</li> <li>✓ <b>IGNR</b> (ignore, at least one entry of the submission is FVLD)</li> </ul>

	<ul style="list-style-type: none"> <li>✓ <b>PNDG</b> (pending confirmation of delegation file)</li> <li>✓ <b>HOLD</b> (hold, at least one entry of the submission is PNDG)</li> <li>✓ <b>FRWD</b> (forwarded)</li> <li>✓ <b>ACPT</b> (accepted, by the Trade Repository)</li> <li>✓ <b>RJCT</b> (rejected, by the Trade Repository)</li> </ul>
Ent errors	Populated with the value "-" when the <b>processing of the file is successful</b> , otherwise, this field displays a description of the errors during the processing of the file content

Regarding the values of the other fields, you can refer to the manual “**Technical Specifications of EMIR TR Service (Applied from 29/04/2024) EMIR REFIT**” posted on the website <https://www.athexgroup.gr/el/web/guest/emir-tr-service>, at its current version.

In addition, the user, from the “**Action**” option, has multiple capabilities such as displaying/hiding columns, applying filters, exporting reports, and saving the displayed table in various file formats, etc.

**Note:** The screen allows “**sorting**” by column - showing or hiding it by clicking the cursor on the column name. Additionally, the user can search in each field displayed on the screen as well as in combinations of them by selecting the virtual button of magnifying glass icon above the “**Submission id**” column.

## ***7.2 Margin Data for Reports of derivatives not cleared by ATHEXCLEAR***

The user in order to be informed regarding the records of the margin reports that has been submitted for derivatives not cleared by ATHEXCLEAR (OTC108 Report File), selects from the main menu the “**OTC**” virtual button and then “**108 Parsed Data**” and the following screen is displayed:



Submission id	Entry id...	Filedata id	Ent status	Ent errors	Rptgtsmtp 3 1	Rptgtsctpy 3 4	Othctcpty lgi id ...	Othctcpty nstr l...	Told unqtblde 3 10	Told ptry 3 10	Action type 3 28
26803	163	-	PNDG	Delegation Confirmation for LEI '2138009XHVLX732109' not received yet...	2023-11-06 03:44...	2138009XHVLX...	EMIR000MTAAAA...	-	2138009XHVLX...	-	MARU
26803	162	-	PNDG	Delegation Confirmation for LEI '2138009XHVLX732109' not received yet...	2023-11-06 03:44...	2138009XHVLX...	EMIR000MTAAAA...	-	2138009XHVLX...	-	MARU
26803	161	-	PNDG	Delegation Confirmation for LEI '2138009XHVLX732109' not received yet...	2023-11-06 03:44...	2138009XHVLX...	EMIR000MTAAAA...	-	2138009XHVLX...	-	MARU
26533	148	2098	FRWD	Delegation Confirmation for LEI '2138009XHVLX732109' not received yet...	2023-11-06 03:44...	2138009XHVLX...	EMIR000MTAAAA...	-	2138009XHVLX...	-	MARU
26533	147	2098	FRWD	Delegation Confirmation for LEI '2138009XHVLX732109' not received yet...	2023-11-06 03:44...	2138009XHVLX...	EMIR000MTAAAA...	-	2138009XHVLX...	-	MARU
26533	146	2098	FRWD	Delegation Confirmation for LEI '2138009XHVLX732109' not received yet...	2023-11-06 03:44...	2138009XHVLX...	EMIR000MTAAAA...	-	2138009XHVLX...	-	MARU
26532	145	2096	RJCT	LOGI0005 - [Logical][MARU] Non existing contract	2023-11-06 03:44...	2138009XHVLX...	EMIR000MTAAAA...	-	2138009XHVLX...	-	MARU
26532	144	2096	RJCT	LOGI0005 - [Logical][MARU] Non existing contract	2023-11-06 03:44...	2138009XHVLX...	EMIR000MTAAAA...	-	2138009XHVLX...	-	MARU
26532	143	2096	RJCT	LOGI0005 - [Logical][MARU] Non existing contract	2023-11-06 03:44...	2138009XHVLX...	EMIR000MTAAAA...	-	2138009XHVLX...	-	MARU
26531	142	2092	RJCT	LOGI0005 - [Logical][MARU] Non existing contract	2023-11-06 03:44...	2138009XHVLX...	EMIR000MTAAAA...	-	2138009XHVLX...	-	MARU

The fields displayed on this screen and their corresponding descriptions are as follows:

Field Name	Description
Submission id	The <b>submission code of the file</b> , as shown on the “ <b>Submissions</b> ” screen and provided by the application
Entry id	The <b>unique code for each entry</b> , provided by the application
Filedata id	The <b>unique identifier</b> of the entry, as displayed in the “ <b>OTC File Data</b> ” table and provided by the application
Ent status	The status of each entry of the submitted file, with the following values: <ul style="list-style-type: none"> <li>✓ <b>PVLD</b> (pending validation)</li> <li>✓ <b>SVLD</b> (successful validation)</li> <li>✓ <b>FVLD</b> (failed validation)</li> <li>✓ <b>IGNR</b> (ignore, at least one entry of the submission is FVLD)</li> <li>✓ <b>PNDG</b> (pending confirmation of delegation file)</li> <li>✓ <b>HOLD</b> (hold, at least one entry of the submission is PNDG)</li> <li>✓ <b>FRWD</b> (forwarded)</li> <li>✓ <b>ACPT</b> (accepted, by the Trade Repository)</li> <li>✓ <b>RJCT</b> (rejected, by the Trade Repository)</li> </ul>



Ent errors	Populated with the value "-" when the <b>processing</b> of the <b>file is successful</b> , otherwise, this field displays a description of the errors during the processing of the file content
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Regarding the values of the other fields, you can refer to the manual “**Technical Specifications of EMIR TR Service (Applied from 29/04/2024) EMIR REFIT**” posted on the website <https://www.athexgroup.gr/el/web/guest/emir-tr-service>, at its current version.

In addition, the user, from the “**Action**” option, has multiple capabilities such as displaying/hiding columns, applying filters, exporting reports, and saving the displayed table in various file formats, etc.

**Note:** The screen allows “**sorting**” by column - showing or hiding it by clicking the cursor on the column name. Additionally, the user can search in each field displayed on the screen as well as in combinations of them by selecting the virtual button of magnifying glass icon above the “**Submission id**” column.



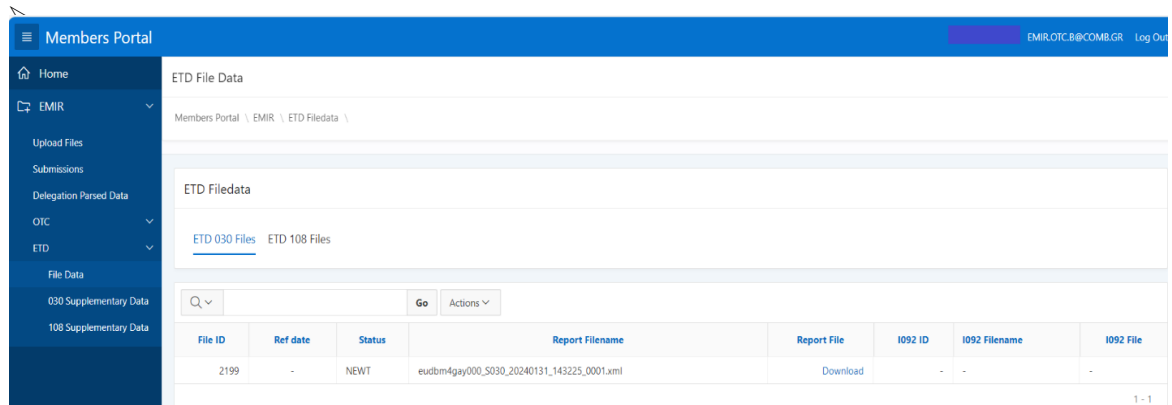
## 8. Validation of Report Files Submission for transactions cleared by ATHEXCLEAR

The user, in order to be informed regarding the submission of ETD trade and position report files, as well as margin report files for derivatives cleared by ATHEXCLEAR, selects the “ETD” virtual button from the main menu and then “File Data” and the following screen is displayed:

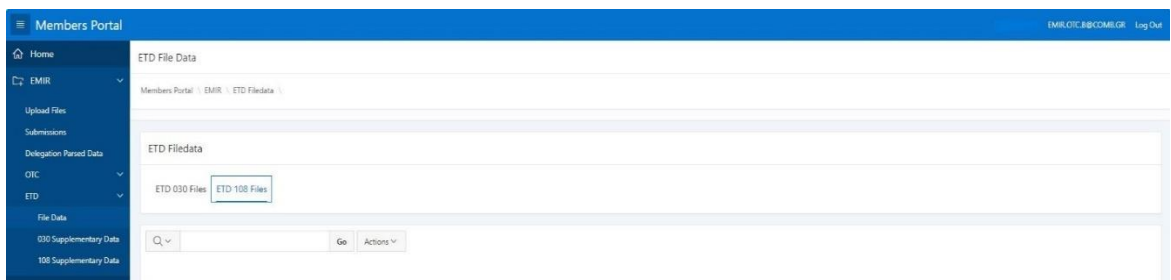


Following that, from the option:

- *“ETD 030 Files” the submissions of the reports for trades & positions for derivatives cleared by ATHEXCLEAR are displayed*



- *“ETD 108 Files” the submissions of margin report files for derivatives cleared by ATHEXCLEAR are displayed*



The fields displayed on this screen and their corresponding descriptions are as follows:



Field Name	Description
File ID	The <b>unique key number of entry</b> provided by the application
Ref Date	The file's submission date
Status	The status of the submitted file, with the following values: <ul style="list-style-type: none"><li>✓ <b>NEWT</b> (new file for submission)</li><li>✓ <b>DSNT</b> (sending the file)</li><li>✓ <b>FRCV</b> (feedback received)</li><li>✓ <b>ACPT</b> (accepted file)</li><li>✓ <b>PART</b> (partially accepted, some entries are SVLD and others are FVLD)</li><li>✓ <b>RJCT</b> (rejected file)</li><li>✓ <b>OBSL</b> (file exception for any technical reason)</li></ul>
Report Filename	The <b>name of the report</b> that was submitted to the Trade Repository
Report File	Populated with the value " <b>Download</b> " from where the submitted report that has been sent to the Trade Repository <b>can be downloaded</b>
I092 ID	The <b>code</b> of the response file
I092 Filename	The <b>name</b> of the response file
I092 File	Populated with the value " <b>Download</b> " from where <b>the response file can be downloaded</b>

In addition, the user, from the "**Action**" option, has multiple capabilities such as displaying/hiding columns, applying filters, exporting reports, and saving the displayed table in various file formats, etc.

**Note:** The screen allows "**sorting**" by column - showing or hiding it by clicking the cursor on the column name. Additionally, the user can search in each field displayed on the screen



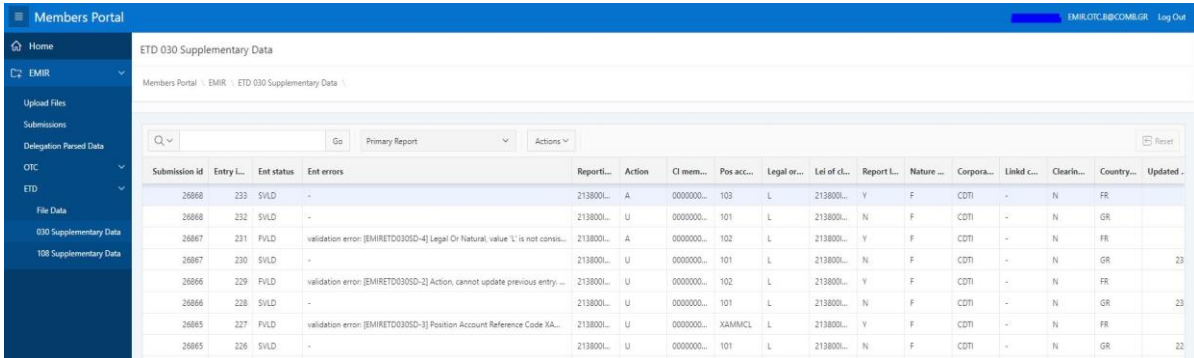
**ATHEXCLEAR**  
Clearing House

as well as in combinations of them by selecting the virtual button of magnifying glass icon above the “**File ID**” column.

## 9. Data for Supplementary Data Files for transactions cleared by ATHEXCLEAR

### 9.1 Data for Supplementary Trade & Position Data File for derivatives cleared by ATHEXCLEAR

The user, in order to be informed regarding the records of ETD supplementary trade & position data files for derivatives cleared by ATHEXCLEAR, selects the “ETD” virtual button from the main menu, then “030 Supplementary Data” and the following screen is displayed:



Submission id	Entry L.	Ent status	Ent errors	ReportL.	Action	CI mem.	Pos acc.	Legal or.	Lei of cL.	Report L.	Nature	Corpora.	Linkd c.	Clearin.	Country	Updated
26866	233	SVLD	-	213800L	A	00000000	103	L	213800L	Y	F	CDTI	-	N	FR	
26868	232	SVLD	-	213800L	U	00000000	101	L	213800L	N	F	CDTI	-	N	GR	
26867	231	FVLD	validation error: [EMIRETD030SD-4] Legal Or Natural, value 'L' is not consist...	213800L	A	00000000	102	L	213800L	Y	F	CDTI	-	N	FR	
26867	230	SVLD	-	213800L	U	00000000	101	L	213800L	N	F	CDTI	-	N	GR	23
26866	229	FVLD	validation error: [EMIRETD030SD-2] Action, cannot update previous entry ...	213800L	U	00000000	102	L	213800L	Y	F	CDTI	-	N	FR	
26866	228	SVLD	-	213800L	U	00000000	101	L	213800L	N	F	CDTI	-	N	GR	23
26865	227	FVLD	validation error: [EMIRETD030SD-3] Position Account Reference Code YA...	213800L	U	00000000	XAMMCL	L	213800L	Y	F	CDTI	-	N	FR	
26865	226	SVLD	-	213800L	U	00000000	101	L	213800L	N	F	CDTI	-	N	GR	22

From the “Primary Report” option, all available records are displayed historically, while from the “Valid Reporting Firms Account Data” option, only the records valid at the current time are shown.

The fields displayed on this screen and their corresponding descriptions are as follows:

Field Name	Description
Submission id	The <b>submission code of the file</b> , as shown on the “Submissions” screen and provided by the application
Entry id	The <b>unique code for each entry</b> , provided by the application
Ent status	The status of each entry of the submitted file, with the following values: <ul style="list-style-type: none"> <li>✓ <b>PVLD</b> (pending validation)</li> <li>✓ <b>FVLD</b> (failed validation)</li> <li>✓ <b>SVLD</b> (successful validation)</li> </ul>

	✓ <b>OBSL</b> (file exception for any technical reason)
Ent errors	Populated with the value "-" when the <b>processing</b> of the <b>file is successful</b> , otherwise, this field displays a description of the errors during the processing of the file content
Reporting firm lei	The <b>LEI code</b> of the Clearing Member/Client of the Service
Updated by	The code of the column " <b>Entry id</b> " that has <b>caused a change</b> in the existing entry

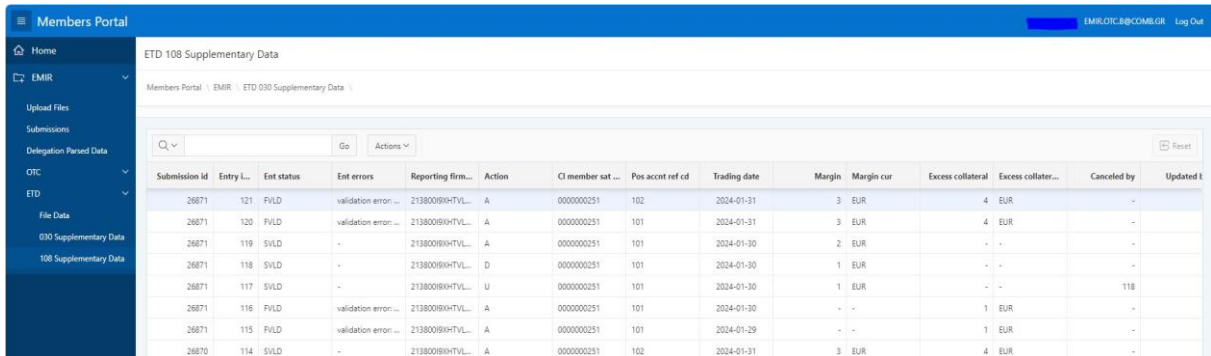
Regarding the values of the other fields, you can refer to the manual "**Technical Specifications of EMIR TR Service (Applied from 29/04/2024) EMIR REFIT**" posted on the website <https://www.athexgroup.gr/el/web/guest/emir-tr-service> at its current version.

In addition, the user, from the "**Action**" option, has multiple capabilities such as displaying/hiding columns, applying filters, exporting reports, and saving the displayed table in various file formats, etc.

**Note:** The screen allows "**sorting**" by column - showing or hiding it by clicking the cursor on the column name. Additionally, the user can search in each field displayed on the screen as well as in combinations of them by selecting the virtual button of magnifying glass icon above the "**Submission id**" column.

## 9.2 Data for Supplementary Margin Data Files for derivatives cleared by ATHEXClear

The user, in order to be informed regarding the records of ETD supplementary margin data files for derivatives cleared by ATHEXClear, selects the "**ETD**" virtual button from the main menu, then "**108 Supplementary Data**" and the following screen is displayed:



Submission id	Entry L.	Ent status	Ent errors	Reporting firm...	Action	CI member sat...	Pos acct ref cd	Trading date	Margin	Margin cur	Excess collateral	Excess collateral...	Canceled by	Updated t
26871	121	FVLD	validation error: ...	2138009HHTVL...	A	0000000251	102	2024-01-31	3	EUR	4	EUR	-	-
26871	120	FVLD	validation error: ...	2138009HHTVL...	A	0000000251	101	2024-01-31	3	EUR	4	EUR	-	-
26871	119	SVLD	-	2138009HHTVL...	A	0000000251	101	2024-01-30	2	EUR	-	-	-	-
26871	118	SVLD	-	2138009HHTVL...	D	0000000251	101	2024-01-30	1	EUR	-	-	-	-
26871	117	SVLD	-	2138009HHTVL...	U	0000000251	101	2024-01-30	1	EUR	-	-	118	-
26871	116	FVLD	validation error: ...	2138009HHTVL...	A	0000000251	101	2024-01-30	-	-	1	EUR	-	-
26871	115	FVLD	validation error: ...	2138009HHTVL...	A	0000000251	101	2024-01-29	-	-	1	EUR	-	-
26870	114	SVLD	-	2138009HHTVL...	A	0000000251	102	2024-01-31	3	EUR	4	EUR	-	-

The fields displayed on this screen and their corresponding descriptions are as follows:

Field Name	Description
Submission id	The <b>submission code of the file</b> , as shown on the “ <b>Submissions</b> ” screen and provided by the application
Entry id	The <b>unique code for each entry</b> , provided by the application
Ent status	The status of each entry of the submitted file, with the following values: <ul style="list-style-type: none"> <li>✓ <b>PVLD</b> (pending validation)</li> <li>✓ <b>FVLD</b> (failed validation)</li> <li>✓ <b>SVLD</b> (successful validation)</li> <li>✓ <b>OBSL</b> (file exception for any technical reason)</li> </ul>
Ent errors	Populated with the value "-" when the <b>processing of the file is successful</b> , otherwise, this field displays a description of the errors during the processing of the file content
Reporting firm lei	The <b>LEI code</b> of the Clearing Member/Client of the Service
Canceled by	The code of the column “ <b>Entry id</b> ” that has <b>caused the cancel</b> in the existing entry
Updated by	The code of the column “ <b>Entry id</b> ” that has <b>caused a change</b> in the existing entry

Regarding the values of the other fields, you can refer to the manual “**Technical Specifications of EMIR TR Service (Applied from 29/04/2024) EMIR REFIT**” posted on the website <https://www.athexgroup.gr/el/web/guest/emir-tr-service>, at its current version.

In addition, the user, from the “**Action**” option, has multiple capabilities such as displaying/hiding columns, applying filters, exporting reports, and saving the displayed table in various file formats, etc.

**Note:** The screen allows “**sorting**” by column - showing or hiding it by clicking the cursor on the column name. Additionally, the user can search in each field displayed on the screen as well as in combinations of them by selecting the virtual button of magnifying glass icon above the “**Submission id**” column.



The fields displayed on this screen and their corresponding descriptions are as follows:

Field Name	Description
Filetype description	The type of the submitted file, with the following values: <b>Members Accounts CSV file</b> <b>Members Transaction file</b> <b>Members Collaterals file</b>
Reporting firm lei code	The <b>LEI code</b> of the Clearing Member/Client of the Service
Insert date	The submission date of the file
Insert time	The submission time of the file
Download submission	The file initially uploaded that can be downloaded locally by the user
Download response	The response file that can be downloaded locally by the user
Source channel	TRC-E
File status description	The status of the submitted file, with the following values: <b>Received</b> <b>Forward</b> <b>Replied</b>
Last update date	Date and time of last update

In addition, the user, from the “**Action**” option, has multiple capabilities such as displaying/hiding columns, applying filters, exporting reports, and saving the displayed table in various file formats, etc.

**Note:** The screen allows “**sorting**” by column - showing or hiding it by clicking the cursor on the column name. Additionally, the user can search in each field displayed on the screen as well as in combinations of them by selecting the virtual button of magnifying glass icon above the “**Filetype description**” column.