



## REQUIRED DOCUMENTS NEEDED FOR PROVIDING INFORMATION REGARDING THE DECEASED'S PORTFOLIO

ATHEXCSD provides the following information to the legal heirs of a deceased beneficiary in DSS:

- a. The full data of the death person of the Investor's Share after searching based on the above data
- b. Statement of balances with valuation, for the securities that were registered in the Securities Accounts of the deceased on the previous day of death (retrospective balances)
- c. Statement of balances for the securities held in the deceased's Securities Accounts (current balances)
- d. Transactions of the deceased's Securities Accounts.
- e. Statement of cash distributions for the decedent's Securities Accounts from the date of death and onwards.

### JUSTIFICATIONS REQUIRED PER CASE

#### A. IF AN INHERITANCE DOCUMENT, OR WILL OR INHERITANCE ACCEPTANCE BY THE HEIR DOES NOT EXIST

1. Relevant application [form (AIT025E), also required the ID Number and the Tax Identification Number of the deceased.
2. Death certificate of the deceased.
3. Certification of nearer relatives of the deceased.
4. Certification of no probation of the deceased's will.
5. Certification of no dispute concerning the rights of succession
6. Certification of no refusal of the heritage.

The documents will be certified. The delivery to ATHEXCSD of the documents 4 and 5 should be done within **6 months** from the date that was issued by the Court of First Instance / Magistrate's Court.

#### B. IF AN INHERITANCE DOCUMENT EXIST

1. Relevant application [form (AIT025E), also required the ID Number and the Tax Identification Number of the deceased.
2. Certified copy of the inheritance document.



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3. Certification of no repealing / modifying / invalidating of the inheritance document (received by ATHEXCSD, certified, within the time frame of three months from the date that was issued by the court of the first instance).

#### **C. IF A WILL EXIST**

1. Relevant application [form (AIT025E), also required the ID Number and the Tax Identification Number of the deceased.
2. Certified copy of the relevant court records concerning the probation of the will (holographic, discreet, extraordinary) or certified copy of the public testament.
3. Certified copy of the relevant court records with which the holographic will was granted probate (only in case of a holographic will that was granted probate).
4. In case that the will does not include immaterial securities, then a certification of nearer relatives, is submitted
5. Certification of no probation of any other will.
6. Certification of no dispute concerning the rights of succession.
7. Certification of no refusal of the heritage.

The documents will be certified. The delivery to ATHEXCSD of the documents 5 and 6 should be done within **6 months** from the date that was issued by the Court of First Instance / Magistrate's Court.

#### **D. IF AN INHERITANCE ACCEPTANCE BY THE HEIR EXIST (IN WHICH IMMATERIAL SECURITIES/STOCKS ARE INCLUDED AS WELL)**

1. Relevant application [form (AIT025E), also required the ID Number and the Tax Identification Number of the deceased.
2. Certified copy of the relevant notarial document revealing the acceptance of the deceased inheritance.

#### **E. IF AN INHERITANCE ACCEPTANCE BY THE HEIR EXIST (IN WHICH IMMATERIAL SECURITIES/STOCKS ARE NOT INCLUDED AS WELL)**

1. Relevant application [form (AIT025E), also required the ID Number and the Tax Identification Number of the deceased
2. Certified copy of the relevant notarial document revealing the acceptance of the deceased inheritance.
3. Certification of no probation of the deceased's will.

4. Certification of no dispute concerning the rights of succession.

The documents will be certified. The delivery to ATHEXCSD of documents 3 and 4 should be done within **6 months** from the date that was issued by the Court of First Instance / Magistrate's Court.

**F. IF AN INHERITANCE ACCEPTANCE BY THE HEIR EXIST (WITHOUT WILL IN WHICH SECURITIES / STOCKS ARE NOT INCLUDED AS WELL)**

1. Relevant application [form (**AIT025E**), also required the certification ID and the Tax Identification Number of the deceased.
2. Certified copy of the relevant notarial document revealing the acceptance of the deceased inheritance.
3. Certification of nearer relatives of the deceased.
4. Certification of no probation of any other deceased's will.
5. Certification of no dispute concerning the rights of succession.

The documents will be certified. The delivery to ATHEXCSD of documents 4 and 5 should be done within **6 months** from the date that was issued by the Court of First Instance / Magistrate's Court.

**Notes:**

- ✓ Method of issuing certificates: the required certificates must have been issued either through the Public Services, or electronically through the corresponding application ([www.solon.gov.gr](http://www.solon.gov.gr))
- ✓ Alternatively and in accordance with article 65 of Law 4961/2022 as applicable, instead of the above Certificates of non-publication, non-dispute and non-waiver, the heir may present the single certificate of inheritance, which is valid for six (6) months from its issuance.
- ✓ In the case of filing the application by a third party, an authorization is required, with a certification of the authenticity of the signature of the applicant heir, with which the person submitting the application will be authorized, as, instead of him and on his behalf, submits the application in question to the "Hellenic Central Depository of Securities S.A.", request from its competent services any information in relation to securities held in the name of the deceased and/or in relation to a share of the deceased's investor and the intangible values contained



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therein, signing for this reason any relevant document or application that will be requested by the "Hellenic Central Depository of Securities S.A." to complete the above command.

- ✓ In the case of a deceased person before 01/03/2013, a certificate of publication or non-probate must be provided by both the Magistrate's Court and the Court of First Instance. of the place of residence of the deceased.
- ✓ ATHEXCSD preserves the right to demand additional documents according to its judgment.

### **INSTRUCTIONS FOR SENDING DOCUMENTS**

Documents can be sent to ATHEXCSD by post or by e-mail.

- In the case of a postal shipment, it is required that the required certificates have been issued through the Public Services, either electronically through the corresponding application ([www.solon.gov.gr](http://www.solon.gov.gr)) and that the genuineness of the signature of the requesting heir has been verified in the application or by an administrative authority or electronically, according to the provisions at [www.gov.gr](http://www.gov.gr) .

**Shipping address:** Hellenic Central Securities Depository S.A. / Accounts & Registry Services Department / 110 Athinon St., 10443 Athens Greece

- In the case of sending by electronic mail (e-mail), the certificates must have been issued electronically through the corresponding application (e.g. [www.solon.gov.gr](http://www.solon.gov.gr)) and the originality of the signature of the requesting heir has been verified in the application also electronically, according to the provisions at [www.gov.gr](http://www.gov.gr) .
- Sending e-mail address: [ars@athexgroup.gr](mailto:ars@athexgroup.gr)